

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q92435

Shigeto UCHYAMA, et al.

Appln. No.: 10/562,687

Group Art Unit: Unknown

Confirmation No.: 3798

Examiner: Unknown

Filed: December 28, 2005

For: EQUOL-PRODUCING LACTIC ACID BACTERIA-CONTAINING COMPOSITION

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination  
Filing Receipt Correction

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

**Assignee Name:** OTSUKA PHARMACEUTICAL CO., LTD.

Verification for the requested correction is indicated on the Assignment filed December 15, 2005.

Respectfully submitted,



Gordon Kit  
Registration No. 30,764

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: March 1, 2007

**FILING RECEIPT**  
**PLEASE DATE STAMP AND RETURN TO US - BOX 235X**

In re application of

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PAPERS FILED ENTITLED:

1. Request for Corrected Official Filing Receipt
2. Marked up Copy of Official Filing Receipt
3. Assignment

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
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DOCKET NO.: Q92435  
ATTORNEY/SEC: Gordon Kit  
Date Filed: March 1, 2007

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/562,687	12/28/2005	1761	900	Q92435	7	13	3

CONFIRMATION NO. 3798

## CORRECTED FILING RECEIPT



\*OC000000020360386\*

23373  
SUGHRUE MION, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
SUITE 800  
WASHINGTON, DC 20037

Date Mailed: 09/08/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shigetō Uchiyama, Miyaki-gun, JAPAN;  
Tomomi Ueno, Kurume-shi, JAPAN;  
Toshimi Suzuki, Kurume-shi, JAPAN;

## Assignment For Published Patent Application

Otsuka Pharmaceutical Co Ltd

*Otsuka Pharmaceutical Co., Ltd.*

Power of Attorney: The patent practitioners associated with Customer Number 23373.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09484 06/29/2004

## Foreign Applications

JAPAN 2003-187831 06/30/2003

If Required, Foreign Filing License Granted: 03/30/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,687**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Equal-producing lactic acid bacteria-containing composition

Preliminary Class

426

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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## Assignment

Whereas, I/We, 1) UCHIYAMA Shigeto, 2) UENO Tomomi, and 3) SUZUKI Toshimi, of 1) 1157-1, Oaza Miyaura, Kiyama-cho, Miyaki-gun, Saga 8410204, Japan; 2) 5-2-7-103, Komorino, Kurume-shi, Fukuoka 8300001, Japan; and 3) 1744-3-206, Tsubukuhonmachi, Kurume-shi, Fukuoka 8300047, Japan hereinafter called assignor(s), have invented certain improvements in

### EQUOL-PRODUCING LACTIC ACID BACTERIA-CONTAINING COMPOSITION

and executed an application for Letters Patent of the United States of America therefor on December 15, 2005; and

Whereas, OTSUKA PHARMACEUTICAL CO., LTD., of  
9, Kandatsukasa-cho 2-chome, Chiyoda-ku,  
Tokyo 1018535, Japan

(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

I/We hereby authorize and request our attorneys SUGHRUE MION, PLLC of 2100 Pennsylvania Avenue, NW, Washington, DC 20037-3213 to insert here in parentheses (Application number \_\_\_\_\_, filed \_\_\_\_\_ and Confirmation number \_\_\_\_\_) the application number and filing date of said application when known.

Date: December 15, 2005

Shigeto Uchiyama  
s/ UCHIYAMA Shigeto

Date: December 15, 2005

Tomomi Ueno  
s/ UENO Tomomi

Date: December 15, 2005

Toshimi Suzuki  
s/ SUZUKI Toshimi

Date: \_\_\_\_\_

s/